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2131

DOCKET NO.: MSFT-0188/154574.1

Technology Center 2100

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

John L. Manferdelli, et al.

Confirmation No.: Not Yet Assigned

Application No.: 09/604,174

Group Art Unit: 2131

Filing Date: June 27, 2000

Examiner: Not Yet Assigned

For: **SECURE REPOSITORY WITH LAYERS OF TAMPER RESISTANCE AND
SYSTEM AND METHOD FOR PROVIDING SAME**

DATE OF DEPOSIT: 10-7-04

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
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VA 22313-1450.

TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **33 thru 90** listed on the attached Form PTO-1449 are enclosed herewith.


- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-7-09


Peter M. Ullman
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VA 22313-1450

TYPED NAME: Peter M. Ullman
REGISTRATION NO.: 43,963

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

COMMUNICATION

- ☒ Applicant wishes to advise the Examiner of certain co-pending applications with regard to the present application. In particular, the following eleven (11) applications, nos. 09/604,564, 09/604,944, 09/604,939, 09/604,946, 09/604,174, 09/604,636, 09/604,540, 09/604,222, 09/604,541, 09/604,518, 09/604,543, were all filed on June 27, 2000 and are presently pending. These applications share either substantially identical specifications or overlapping material, and also have overlapping (but non-identical) sets of inventors.


DOCKET NO.: MSFT-0188/154574.1

PATENT

Of the eleven (11) applications listed above, three of the applications (nos. **09/604,540**, **09/604,946**, **09/604,944**) each claim priority to two provisional applications (60/172,318 and 60/172,319) filed on December 17, 1999.

Additionally, at present each of the following application nos.: **10/858,890** (filed June 2, 2004); **10/871,739** (filed June 18, 2004); and attorney docket no. **MSFT-4470/154575.05** (filed September 17, 2004); is a pending child of one of the above-mentioned cases.

Date: /0-7-04



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Sheet 1 of 5

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0188/154574.1		Application No. 09/604,174	
	Applicant John L. Manfredelli, et al.			
	Filing Date June 27, 2000		Group 2131	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	33	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security</i> , 1999 , 2(2), 177-228		
EXAMINER		DATE CONSIDERED		



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Form PTO-1449 Modified

List of Patent and Publications
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Patent and Trademark Office

Docket No.
MSFT-0188/154574.1

Application No.
09/604,174

Applicant
John L. Manferdelli, et al.

Filing Date
June 27, 2000

Group
2131

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	34	2002/0002611	01/03/02	Vange, Mark	709	223
	35	5,809,145	09/15/98	Slik, et al.	380	25
	36	5,864,620	01/26/99	Pettitt	380	4
	37	6,088,717	07/11/00	Reed, et al.	709	201
	38	6,226,618 B1	05/01/01	Downs, et al.	705	1
	39	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	40	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	41	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	42	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	43	6,718,361 B1	04/06/04	Basani, et al.	709	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	44	EP 0 795 809 A2	09/17/97	EPO		
	45	WO 00/08909	02/24/00	PCT		
	46	WO 00/21239	04/13/00	PCT		
	47	WO 00/75760 A1	12/14/00	PCT		
	48	WO 99/63416	12/09/99	PCT		

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Form PTO-1449 Modified

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John L. Manferdelli, et al.

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U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	49	2001/0011238	08/02/01	Eberhard, et al.	705	27
	50	5,708,780	01/13/98	Levergood, et al.	395	200.12
	51	5,724,425	03/03/98	Chang, et al.	380	25
	52	5,883,955	03/16/99	Ronning	380	4
	53	5,933,498	08/03/99	Schneck, et al.	380	4
	54	5,970,475	10/19/99	Barnes, et al.	705	27
	55	5,999,622	12/07/99	Yasukawa, et al.	380	4
	56	6,006,332	12/21/99	Rabne, et al.	713	201
	57	6,021,492	02/01/00	May, Gregory J.	713	200
	58	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	59	EP 0 778 512 A2	06/11/97	EPO		
	60	EP 0 843 449 A2	05/20/98	EPO		
	61	WO 96/42041	12/27/96	PCT		
	62	WO 98/45768	10/15/98	PCT		
	63	WO 98/58306	12/23/98	PCT		

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0188/154574.1	Application No. 09/604,174
	Applicant John L. Manferdelli, et al.	
	Filing Date June 27, 2000	Group 2131

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	64	4,924,378	05/08/90	Hershey, et al.	713	201
	65	5,222,134	06/22/93	Waite, et al.	380	4
	66	5,509,070	04/16/96	Schull	380	4
	67	5,734,823	03/31/98	Saigh, et al.	395	200.06
	68	5,734,891	03/31/98	Saigh	395	610
	69	5,784,609	07/21/98	Kurihara, A.	707	9
	70	5,983,273	11/09/99	White, et al.	709	229
	71	5,991,402	11/23/99	Jia, et al.	705	59
	72	6,035,403	03/07/00	Subbiah, et al.	713	201
	73	6,067,582	05/23/00	Smith, et al.	710	5

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	74	WO 96/24092	08/08/96	PCT		
	75	WO 98/44402	10/08/98	PCT		
	76	WO 99/26123	05/27/99	PCT		
	77	WO 99/45491	09/10/99	PCT		
	78	WO 99/55055	12/28/99	PCT		

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John L. Manferdelli, et al.Filing Date
June 27, 2000Group
2131**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	79	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
	80	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	81	5,530,235	06/25/96	Stefik, et al.	235	492
	82	5,625,693	04/29/97	Rohatgi, et al.	380	23
	83	5,654,746	08/05/97	McMullan, Jr. et al.	725	29
	84	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	85	5,742,677	04/21/98	Pinder, et al.	380	242
	86	5,765,152	06/09/98	Erickson, J.S.	707	9
	87	6,029,046	02/22/00	Khan, et al.	725	31
	88	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	89	6,331,865 B1	12/18/01	Sachs, et al.	345	776
	90	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER**DATE CONSIDERED**